

# **Polyamide nucleic acid derivatives, and agents and processes for preparing them**

## **BACKGROUND OF THE INVENTION**

### **Field of the Invention**

[001] The present invention relates to carboxy-terminally and carboxy/amino-terminally phosphorylated polyamide nucleic acid (PNA) derivatives having improved properties, to their use and to agents and processes for preparing them.

### **Summary of the Related Art**

[002] Polyamide nucleic acids, also termed peptide nucleic acids (PNA), bind to complementary target sequences (DNA or RNA) with a higher affinity than do natural oligonucleotides and, furthermore, have the advantage, as compared with natural DNA, that they are very stable in serum. PNA were originally described as unnatural nucleic acid analogs in which the entire sugar-phosphate backbone is replaced with N-(2-aminoethyl)glycine units (M. Egholm et al. (1991) Science 254, 1497-1500; WO 92/20702; M. Egholm et al. Nature (1993) 365, 566-568; P. Nielsen, (1994) Bioconjugate Chem. 5, 3-7; E. Uhlmann et al. (1998) Angewandte Chemie Int. Ed. Engl. 37, 2796-2823). The bases employed are 1) nucleobases which occur naturally and are customary in nucleotide chemistry, 2) nucleobases which do not occur naturally, and 3) the prodrug forms of these two types of bases, that is, precursors which are only converted into the free base by biotransformation in the body.

[003] PNAs have also been described in which not all the positions in the backbone carry base residues (Greiner et al. (1999) Helv. Chim Acta 82, 2151), and in which aminoethylglycine is replaced by more complex units (Uhlmann et al. (1998) Angewandte Chem. Int. Ed. 37, 2796; Falkiewicz (1999) Biochim. Pol., 46, 509-529).

[004] The fact that the PNA backbone does not have any net charge is a feature of this class of substances that has far-reaching consequences. The fact that PNA binds to complementary DNA and RNA even at low salt concentration (see e.g. *Peptide Nucleic Acids: Protocols and Applications*; Peter E. Nielsen and Michael Egholm (Edit.) Horizon Scientific Press, 1999, page 3), with the Watson-Crick base pairing rules being obeyed, is ascribed to the neutral character of the PNA and the decrease in charge repulsion which is associated therewith. For this reason, PNA can, in principle, be used for numerous applications in which natural oligonucleotides or oligonucleotide derivatives would otherwise be employed. However, in addition to this, because of the unique binding properties, a large number of applications which are not possible with natural oligonucleotides also ensue (see, for example: *Peptide Nucleic Acids: Protocols and Applications*; Peter E. Nielsen and Michael Egholm (Edit.) Horizon Scientific Press, 1999). For example, a strand invasion of double-stranded DNA has been observed when using PNA, resulting in formation of triplex structures.

[005] Typical examples of applications for PNA include its use for inhibiting gene expression by binding, in a sequence-specific manner, to cellular DNA or RNA. "Antisense agents" are short, single-stranded nucleic acid derivatives which bind, by means of Watson-Crick base pairing, to a complementary mRNA whose translation into the corresponding protein is to be inhibited (Uhlmann and Peyman (1990) *Chem. Rev.* 90, 543; Larsen et al. (1999) *Biochem. Biophys. Acta* 1489, 159). "Anti-gene agents" bind, by way of Hoogsteen base pairing, in the major groove of the DNA double helix with the formation of a triple helix, resulting in transcription of the genes being inhibited in a sequence-specific manner (Praseuth et al. (1999) *Biochem. Biophys. Acta* 1489, 181). Gene expression can also be specifically inhibited by so-called decoy oligomers, which mimic the regions for binding transcription factors. By treating with decoy agents, particular transcription

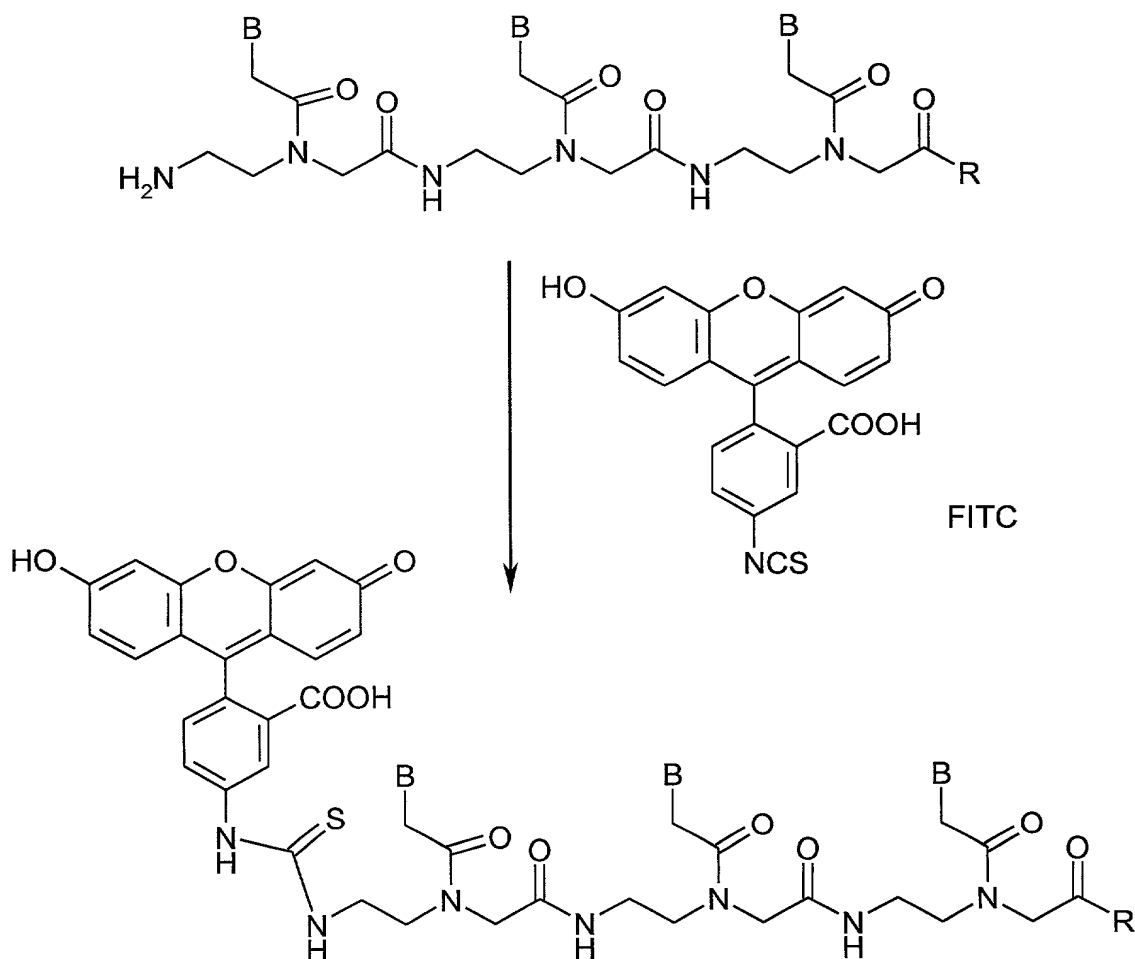
factors can be captured in a sequence-specific manner and activation of transcription thereby prevented (Mischiati et al. (1999) J. Biol. Chem. 274, 33114). Another group of oligonucleotide derivatives which act intracellularly are the chimeraplasts. These are used for specific gene proof-reading (Cole-Strauss et al. (1996) Science 273, 1386-1389).

[006] PNAs can, therefore, be used as pharmaceuticals and/or diagnostic agents or for producing pharmaceuticals and/or diagnostic agents. For example, after having been labeled with biotin, fluorescein, or other labels, PNA can be used as a specific hybridization probe for diagnostic purposes and in molecular biology.

[007] Four methods have so far been described in the literature for introducing the labeling groups (Oerum et al. (1999), in Peptide Nucleic Acids: Protocols and Applications, pages 81-86; Lohse et al. (1997) Bioconjugate Chem. 8, 503). The first method is based on labeling the free (deprotected) PNA after it has been synthesized in solution. In this method, the amino terminus of the PNA is reacted with an activated carboxylic acid or an isothiocyanate. However, additional lysine residues are frequently introduced into the PNA, with these residues then being reacted with fluorescein isothiocyanate (FITC).

[008] In the second method, the protected PNA is modified at its amino terminus with activated carboxylic acid derivatives or isothiocyanates while it is still on the solid phase. This method is only suitable for labeling groups which are stable under the conditions which pertain during deprotection of the PNA and during its cleavage from the support. The reactive reagents which are preferably used in both cases are isothiocyanates (P. Wittung et al., (1995) FEBS Lett. 375, 27) and activated carboxylic acids, such as N-hydroxysuccinimide esters (NHS) (Oerum et al., 1999). A disadvantage of the reaction using the NHS derivatives is that it is frequently

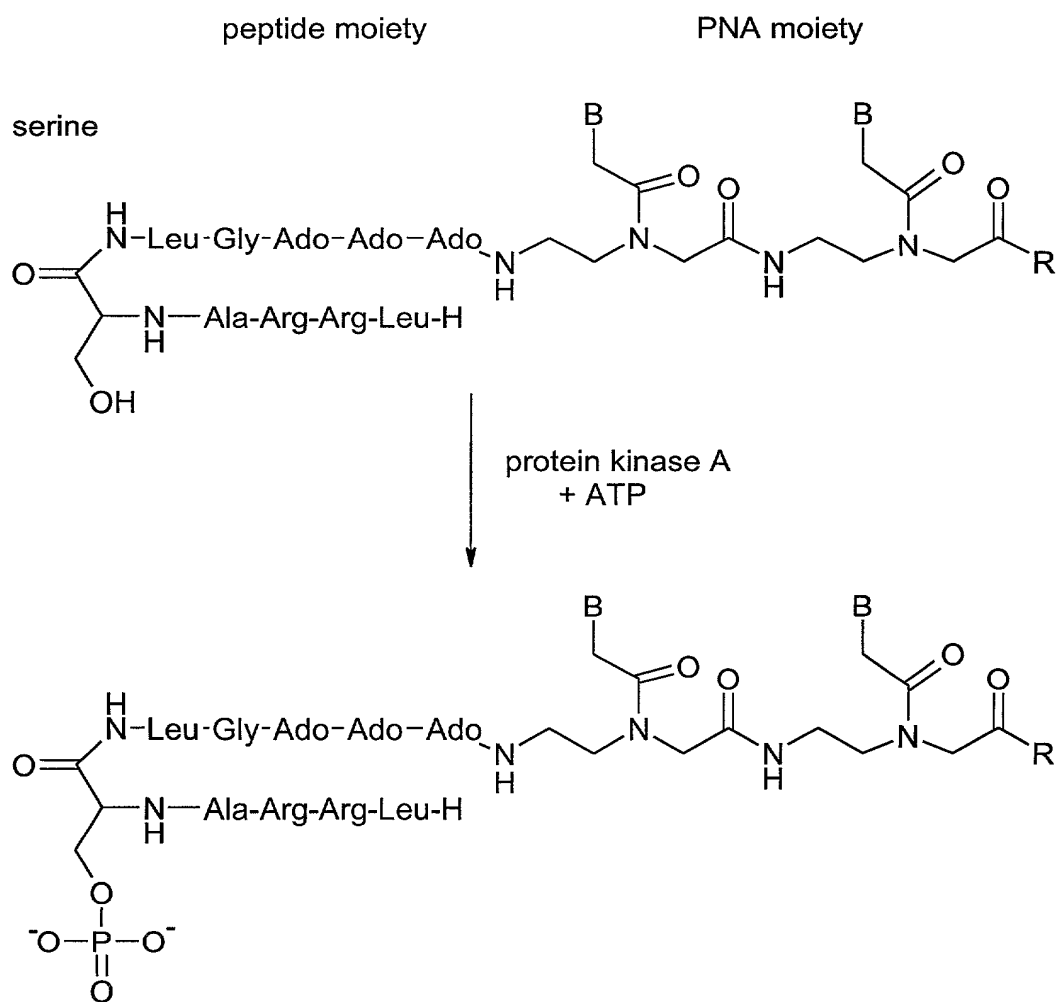
only accomplished with poor yields. For this reason, 8-amino-3,6-dioxaoctanoic acid is frequently condensed, as a linker or spacer, between the PNA and the labeling group (Oerum et al., 1999). Both linkages are effected by way of amide bonds or thiourea bonds, which, as such, are, however, more likely to lead to insolubility. Alternatively, the carboxylic acids are caused to react using activators which are customary in peptide chemistry, such as HBTU, TBTU or HATU.



[009] In a third method, shown generally above, fluorescein-conjugated monomers are used during the synthesis of the PNA on the solid phase, with the fluorescence labeling being effected by way of an amide bond

(Lohse et al. (1997) *Bioconjugate Chem.* 8, 503), which once again leads to conjugates that are relatively difficult to dissolve.

[010] A fourth method uses PNA peptide conjugates in which the peptide moiety forms a substrate for a protein kinase (Koch et al. (1995) *Tetrahedron Lett.* 36, 6933). In this way, therefore, it is not the PNA moiety which is modified; rather, the serine residue in the peptide segment is phosphorylated enzymatically. When this method is used, therefore, it is only possible to introduce radioactive phosphate, and not, for example, any fluorescein or biotin, into the peptide segment of the PNA-peptide conjugate. The general reaction is depicted as follows:



[011] It is known that PNA tends to aggregate in aqueous solution, that is, under physiological conditions as well. PNA is therefore poorly soluble in aqueous buffer and is then unavailable for hybridizing to complementary sequences. Furthermore, PNA has a high affinity for various materials such as SEPHADEX® (from Pharmacia), BOND ELUT® (from Varian), or various HPLC chromatograph materials that are used in purifying oligomers. This means that PNA can frequently only be isolated in poor yields. It is therefore necessary to conjugate PNA with lysine or other positively charged amino acids (by way of the C terminus) (Egholm et al (1992) J. Am. Chem. Soc. 114, 1895). Guanine-rich PNA sequences have a very particular tendency to aggregate. For this reason, use of such PNA is generally discouraged (see "Guidelines for sequence design of PNA oligomers" in Peptide Nucleic Acids: Protocols and Applications (1999) pages 253-255). For example, relatively long fluorescein-labeled PNA oligomers are particularly difficult to dissolve, with the addition of an organic solvent and heating to 50°C being recommended.

[012] It is particularly difficult to purify the poorly soluble lipophilic PNA derivatives. Several peaks due to PNA aggregates are frequently detected in the HPLC. The technique of polyacrylamide (PAA) gel electrophoresis, which is frequently employed for purifying and separating nucleic acids, cannot be used for these PNA derivatives.

[013] In the methods of derivatizing PNA which are described above, the labeling group is always introduced by forming an amide bond or a thioamide bond, with PNA derivatives being formed which are relatively difficult to dissolve. Poorly soluble PNA derivatives are formed, in particular, when lipophilic residues, such as fluorescein, are introduced. Inserting labels at both ends of the PNA is technically even more difficult and generally leads to even poorer solubility. In addition, no efficient method for simultaneously

derivatizing PNA at the amino and carboxy termini, in particular by means of solid phase synthesis, has been described. Furthermore, since the labeling reactions frequently proceed with poor yields, there is a need in the art to develop PNA derivatives that can be prepared in high yields, and which should exhibit advantageous properties, such as improved solubility, improved binding behavior, and better cellular uptake, and which, in addition, make it possible to use efficient methods for purifying the PNA oligomers.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

[014] Figures 1a, 1b, 2b and 3b show examples of terminal Z and Z' radicals.

[015] Figures 2a and 3a show examples of bridging X and X' radicals.

[016] Figures 4a, 4b, 4c and 4d show examples of phosphorylating reagents.

[017] Figures 5a and 5b show examples of single (A, B) and multiple (C to E) derivatization of PNA at the N terminus.

[018] Figure 6 shows examples of support-bound reagents for solid phase synthesis.

[019] Figures 7, 8 and 9 show examples of synthesizing C- and N-terminally modified PNA.

### **DETAILED DESCRIPTION OF THE INVENTION**

[020] According to the invention, the needs of the art are achieved by providing PNA derivatives which carry one or more phosphoryl radicals at the C terminus or at the C and N termini of the PNA backbone. The invention provides PNA derivatives that are derivitized with, among other things,

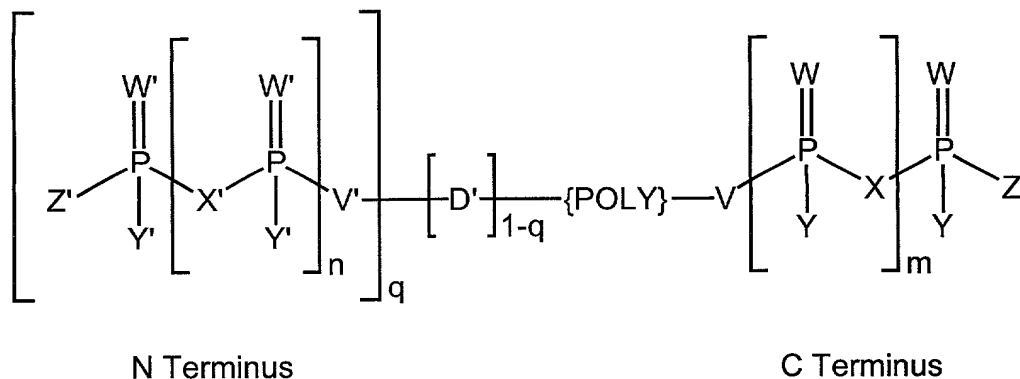
thiophosphoryl radicals, iminophosphoryl radicals, and/or oxophosphoryl radicals. The PNA derivatives of the invention can have at least one of the phosphoryl radicals carrying one or more deprotonatable groups, such as hydroxyl groups or mercapto groups. The phosphoryl radicals can be linked to the PNA backbone by way of an oxygen-phosphorus bond, sulfur-phosphorus bond, or nitrogen-phosphorus bond, either directly or by way of a spacer. The spacer can be, but is not necessarily, an alkanoylamide, a poly(alkoxy)carboxamide, or an amino acid. Examples of phosphoryl radicals include, but are not limited to, phosphate, phosphonate, thiophosphate, phosphoamidate, and substituted phosphoryl radicals. The substituted phosphoryl radicals can carry, where appropriate, one or more labeling groups, groups for crosslinking, groups which promote intracellular uptake, or groups which increase the binding affinity of the PNA derivative for nucleic acids.

[021] Thus, in embodiments, the invention is directed to a PNA derivative which carries one or more phosphoryl radicals at the C terminus or at the C and N termini of the PNA backbone, wherein the phosphoryl radicals comprise oxo-, thio- and imino-phosphoryl radicals, and wherein at least one of the phosphoryl radicals carries one or more deprotonatable groups, and wherein the phosphoryl radicals are linked to the PNA backbone by way of an oxygen-phosphorus bond, a sulfur-phosphorus bond, or a nitrogen-phosphorus bond, either directly or by way of a spacer.

[022] Labeling groups (labels) are understood as being groups which enable the chemical or biological activity of the PNA derivatives to be assessed qualitatively or quantitatively, for example biotin or fluorescein. Crosslinking is understood as being the formation of intramolecular or intermolecular bonds between spatially adjacent functionalities. An example of a group for crosslinking is the psoralen group.



[023] In general, the invention relates to PNA derivatives of Formula I



Formula I

wherein

$q$  is 0 or 1,

$\text{D}'$  is hydroxyl, mercapto, amino, alkylamino, or acylamino, such as acetylamino,

$\text{V}$  is oxygen, sulfur, or  $\text{NR}_1$ ,

$\text{V}'$  is, independently of any other  $\text{V}'$ , oxygen, sulfur,  $\text{NR}_1$ ,  $\text{U}-(\text{CR}_3\text{R}_4)_{u'}$ ,  $\text{C}(\text{O})-\text{NH}$ , or  $\text{U}-(\text{CH}_2\text{CH}_2\text{O})_{u'}-\text{CH}_2-\text{C}(\text{O})-\text{NH}$ ,

$\text{U}$  is, independently of any other  $\text{U}$ , oxygen, sulfur, or  $\text{NH}$ ,

$u'$  is, independently of any other  $u'$ , from 1 to 10, such as from 1 to 4, for example, 1,

$\text{W}$  and  $\text{W}'$  are, independently of each other, oxygen, sulfur, or  $\text{NR}_1$ ,

- Y and Y' are, independently of each other, hydroxyl, mercapto, oxyanion, thioate, or  $\text{NR}_1\text{R}_2$ ,
- X and X' are, independently of each other,  $\text{U}-(\text{C}_2-\text{C}_{22}\text{-alkanediyl})-\text{U}$ ,  $\text{U}-(\text{CH}_2\text{CH}_2-\text{O})_u$ , a labeling group, a group for crosslinking, a group which promotes intracellular uptake, or a group which increases the binding affinity of the PNA derivative for nucleic acids, for example a bifunctional fluorescein, rhodamine, TAMRA, biotin, pyrene, dinitrophenyl, cholesteryl, acridine, adamantyl, vitamin E, cyanine dye, dabcyI, edans, lexitropsin, psoralen, BODIPY, ROX, R6G or digoxigenin radical,
- Z and Z' are, independently of each other,  
hydroxyl,  
mercapto,  
oxyanion,  
thioate,  
 $\text{NR}_1\text{R}_2$ ,  
 $\text{C}_1-\text{C}_{22}\text{-alkyl}$ ,  
 $\text{C}_1-\text{C}_8\text{-arylalkyl}$ ,  
 $\text{C}_1-\text{C}_{22}\text{-alkyl-U}$ ,  
 $\text{C}_1-\text{C}_8\text{-arylalkyl-U}$ ,  
hydroxy- $\text{C}_1-\text{C}_{18}\text{-U}$ ,  
aminoalkyl-U,  
mercaptoalkyl-U,  
a group of the formula  $\text{R}_7(\text{CH}_2\text{CH}_2-\text{O})_{m'}$ , wherein  $\text{R}_7$  is hydroxyl, amino, or  $\text{C}_1-\text{C}_{22}\text{-alkoxy}$ , and  $m'$  is from 1 to 100, such as from 2 to 10,  
a labeling group,

a crosslinking group,  
 a group which promotes intracellular uptake,  
 or a group which increases the binding affinity of the PNA  
 derivative for nucleic acids, for example a monofunctional  
 or bifunctional fluorescein, rhodamine, TAMRA, biotin or a  
 biotin derivative, pyrene, dinitrophenyl, cholesteryl,  
 acridine, adamantyl, vitamin E, cyanine dye, dabcy, l,  
 edans, lexitropsin, psoralen, BODIPY, ROX, R6G or  
 digoxigenin radical,

$R_1$  and  $R_2$  are, independently of each other, a radical consisting of  
 hydrogen or  $C_1$ - $C_6$ -alkyl, for example hydrogen,

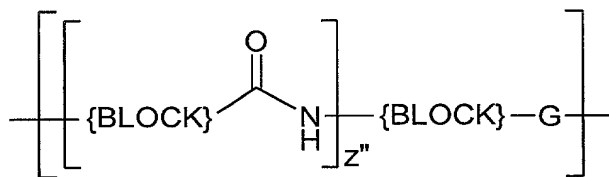
$R_3$  and  $R_4$  are, independently of each other, a radical consisting of  
 hydrogen, or  $C_1$ - $C_6$ -alkyl, or the radical of an amino acid side  
 chain, for example hydrogen, it being possible for adjacent  
 radicals  $R_3$  and  $R_4$  in  $V'$  to also form a  $C_5$ - $C_8$ -cycloalkyl ring,

$n$  is from 0 to 10, such as from 0 to 3,

$m$  is from 0 to 10, such as from 0 to 3,

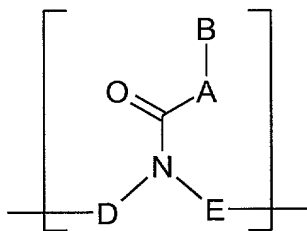
with the proviso that at least one of the  $Y$ ,  $Y'$ ,  $Z$ , or  $Z'$  radical is hydroxyl,  
 mercapto, oxyanion, or thioate;

and wherein {POLY} is described by Formula II,



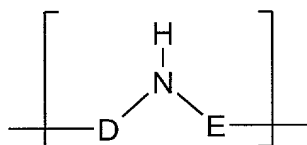
Formula II

wherein {BLOCK} is, independently of any other [BLOCK],  
Formula IIIA,



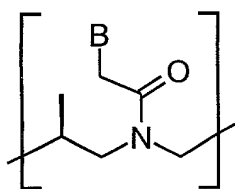
Formula IIIA

Formula IIIB (Greiner et al. (1999) *Helv. Chim Acta* 82, 2151),

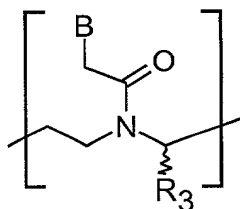


Formula IIIB

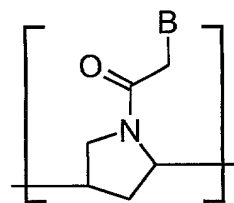
or Formulae IV A to IV G (Uhlmann et al. (1998) *Angewandte Chem. Int. Ed.* 37, 2796; Falkiewicz (1999) *Biochim. Pol.*, 46, 509-529),



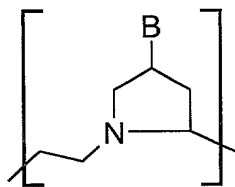
Formula IV A



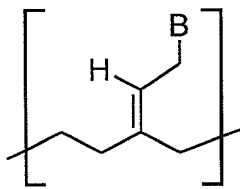
Formula IV B



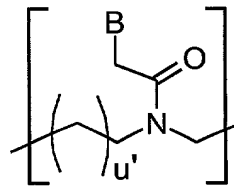
Formula IV C



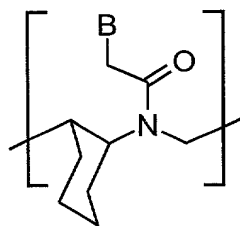
Formula IV D



Formula IV E



Formula IV F



Formula IV G

wherein each {BLOCK} building block can be different;

and wherein

Z'' is from 0 to 100, such as 1-20, for example 4-15,

G is selected from the groups  $(\text{CR}_5\text{R}_6)_{u'}$ ,  $\text{C}(\text{O})\text{NH}-(\text{CR}_1\text{R}_2)_t$ , or  $\text{C}(\text{O})\text{NH}-(\text{CH}_2\text{CH}_2\text{O})_{u'}-\text{CH}_2\text{CH}_2$ , wherein  $u'$  has the above-mentioned meaning and  $t'$  is from 2 to 10, for example 6,

A is, independently of any other A, a group  $(\text{CR}_1\text{R}_2)_s$ , wherein  $s$  is from 1 to 3, for example 1,

- B is, independently of any other B, either  
 an aromatic radical which optionally possesses heteroaromatic  
 character, hydrogen, hydroxyl, or C<sub>1</sub>-C<sub>18</sub>-alkyl, or  
 a nucleobase which occurs naturally, and is customary in  
 nucleotide chemistry, or which does not occur naturally,  
 or its prodrug form,  
 with the proviso that at least one B radical is a nucleobase,
- D is, independently of any other D, a group (CR<sub>3</sub>R<sub>4</sub>)<sub>t</sub>, wherein t is from 2  
 to 10, such as from 2 to 4, for example 2,
- E is, independently of any other E, a group (CR<sub>5</sub>R<sub>6</sub>)<sub>u'</sub>, wherein adjacent  
 R<sub>5</sub> and R<sub>6</sub> radicals can also form a C<sub>5</sub>- to C<sub>8</sub>-cycloalkyl ring or a spiro  
 compound,
- R<sub>5</sub> and R<sub>6</sub> are, independently of each other, a radical consisting of  
 hydrogen, C<sub>1</sub>-C<sub>6</sub>-alkyl, or the radical of an amino acid side  
 chain, for example hydrogen,

wherein u', R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, and R<sub>4</sub> are as defined above.

[024] In addition, the invention relates to physiologically tolerated salts of the PNA derivatives of Formula I. Physiologically tolerated salts are described, for example, in Remington's Pharmaceutical Science (1985) Mack Publishing Company, Easton, PA, USA, page 1418. In embodiments, the salts are ammonium salts, trialkylammonium salts, alkali metal salts (such as sodium salts and potassium salts), and alkaline earth metal salts (such as magnesium salts and calcium salts). In embodiments, the salts are sodium salts.

[025] A surprising, positive effect which was found was that the introduction of a phosphoryl radical, for example as phosphate or else in the form of a lipophilic derivatization (e.g. as a hexadecyl phosphodiester) increases the affinity of the PNA for complementary DNA or RNA. This effect was unexpected since the strong bonding of PNA to complementary DNA or RNA was attributed to the neutral character of the PNA and the reduced charge repulsion which was associated therewith (e.g. Peptide Nucleic Acids: Protocols and Applications; Peter E. Nielsen and Michael Egholm (Edit.) Horizon Scientific Press, 1999, page 3).

[026] The biotin was introduced particularly efficiently by way of a phosphoryl radical. When used as hybridization probes, the biotinylated PNA of Formula I (X, X', Z, and/or Z' = biotin radical) displayed better binding properties and fewer spurious, nonspecific background effects than did corresponding biotinylated DNA probes.

[027] In contrast to the uncharged PNA, the PNA derivatives of Formula I according to the invention can also migrate in an electric field, thereby making it possible to microlocate them and concentrate them on immobilized complementary nucleic acid derivatives. In the case of polyanionic oligonucleotides, the use of an electrical field for microlocation and concentration has already been described for rapidly determining base mismatches (Sosnowski et al. (1997) Proc. Natl. Acad. Sci. U.S.A. 94, 1119).

[028] The hydroxy or mercapto substituents of the phosphoryl radicals of the DNA derivatives according to the invention can be deprotonated in a pH range of from 4.5 to 14. In embodiments, they are deprotonated in a pH range of from 6.5 to 12, such as from 6.5 to 9. The property of the ionizability of the phosphoryl radicals can advantageously be exploited for purifying the compounds of Formula I. On the one hand, the compounds of Formula I can

be purified by electrophoresis, for example polyacrylamide gel electrophoresis (PAGE). On the other hand, it is also possible to purify them using anion exchangers. In the latter case, the desired products can be eluted by using a salt gradient, for example a sodium chloride gradient. They can also be eluted by using a pH gradient. The PNA derivatives of Formula I according to the invention can be simply and efficiently purified using anion exchangers. It was found that the uncharged byproducts are not retarded on the anion exchanger, whereas the charged product adhered to the column. After washing with water, it was possible to isolate the desired product in pure form using acetic acid or a sodium chloride solution. The anion exchangers employed can be strong anion exchangers or mixed-mode phases, such as OASIS MAX® (Waters GmbH, Eschborn).

[029] It was furthermore found that the compounds of Formula I according to the invention are, in general, more readily soluble in aqueous medium than are the corresponding PNA oligomers which do not possess the phosphoryl radical. This is particularly apparent in the form of a greatly improved solubility in aqueous medium in the case of the lipophilic derivatives, such as the fluorescein derivatives or the hexadecyl derivatives.

[030] The invention relates, in embodiments, to PNA derivatives in which A and E of Formula IIIA and/or Formula IIIB are  $\text{CH}_2$ . The invention furthermore relates, in embodiments, to PNA derivatives in which D substituents of Formula IIIA and/or Formula IIIB are  $(\text{CH}_2)_2$ . In embodiments, the invention relates to PNA derivatives of Formula I in which W and W' are oxygen. In embodiments, the invention relates to PNA derivatives of Formula I in which Y and Y' are hydroxyl or oxyanion. In embodiments, the invention relates to PNA derivatives of Formula I in which V and V' are oxygen radicals.



[031] Non-exclusive examples of natural bases are adenine, cytosine, 5-methylcytosine, guanine, thymine, and uracil. Non-exclusive examples of unnatural bases are purine, 2,6-diaminopurine, N<sup>4</sup>N<sup>4</sup>-ethanocytosine, N<sup>6</sup>N<sup>6</sup>-ethano-2,6-diaminopurine, 5-(C<sub>3</sub>-C<sub>6</sub>)-alkynyluracil, 5-(C<sub>3</sub>-C<sub>6</sub>)-alkynylcytosine, 5-(1-propargylamino)uracil, 5-(1-propargylamino)cytosine, phenoxazine, 9-aminoethoxyphenoxazine, 5-fluorouracil or pseudoisocytosine, 5-(hydroxymethyl)uracil, 5-aminouracil, pseudouracil, dihydrouracil, 5-(C<sub>1</sub>-C<sub>6</sub>)-alkyluracil, 5-(C<sub>1</sub>-C<sub>6</sub>)-alkylcytosine, 5-(C<sub>2</sub>-C<sub>6</sub>)-alkenylcytosine, 5-fluorocytosine, 5-chlorouracil, 5-chlorocytosine, 5-bromouracil, 5-bromocytosine, 7-deazaadenine, 7-deazaguanine, 8-azapurine, and 7-deaza-7-substituted purines.

[032] In the case of PNA derivatives which only carry a phosphoryl radical at the C terminus (and for which q is 0), the N terminus can be linked to a peptide sequence. Suitable peptide sequences are those which optimize the organ distribution or the cellular location of the PNA, such as transportan, insulin-like growth factor, nuclear localization signals, or other carrier sequences (Larsen et al. (1999) Biochim. Biophys. Acta 159-166). The peptide can also be used as an affinity tag, like, for example, a (His)<sub>6</sub> chain.

[033] The present invention enables the X, X', Z, and Z' radicals to be varied broadly (non-limiting examples are given in Figures 1a, 1b, 2a, 2b, 3a, and 3b) and thereby makes it possible to introduce different specific functional features into the PNA.

[034] One embodiment of Z or Z' is a C<sub>1</sub>- to C<sub>22</sub>-alkyl radical. In other embodiments, Z or Z' is a C<sub>1</sub>- to C<sub>22</sub>-alkoxy radical, for example a C<sub>16</sub>-alkoxy radical. Other suitable radicals include, but are not limited to, hydroxy-(C<sub>1</sub>-

C<sub>18</sub>-alkoxy) radicals, such as HO(CH<sub>2</sub>)<sub>3-12</sub>O. In embodiments, Z or Z' is an aminoalkoxy radical, such as a 6-aminohexoxy or 5-aminopentoxo radical. In embodiments, Z or Z' is a radical of the formula R<sub>7</sub>(CH<sub>2</sub>CH<sub>2</sub>-O)<sub>m</sub>, wherein R<sub>7</sub> is hydroxyl, amino, or C<sub>1</sub>-C<sub>22</sub>-alkoxy. In embodiments, R<sub>7</sub> is hydroxyl. In embodiments, m is from 0 to 100. In embodiments, m is from 2 to 10. In embodiments, Z or Z' is HO(CH<sub>2</sub>CH<sub>2</sub>-O)<sub>2</sub>, HO(CH<sub>2</sub>CH<sub>2</sub>-O)<sub>6</sub>, or H<sub>2</sub>N-(CH<sub>2</sub>CH<sub>2</sub>-O)<sub>2</sub>. In other embodiments, Z or Z' is a mercaptoalkoxy radical, such as 6-mercaptohexyloxy.

[035] In another embodiment, Z or Z' comprises a fluorescent group, such as fluorescein, rhodamine, TAMRA, or a cyanine dye. Non-limiting examples of suitable fluorescent groups can be found in Figures 1a to 3b. In embodiments, Z is biotin or a biotin derivative. In yet other embodiments, Z is dabcy, psoralen, acridine, DNP, cholesterol (see, for example, Figures 1b and 2b), BODIPY, ROX or R6G radicals (Su-Chun Hung et al. (1998) Analytical Biochemistry 255, 32-38), or digoxigenin (Tarrason et al., Methods in Enzymology (1999) Vol. 313, 257-268).

[036] In addition to this, Z or Z' can be a group consisting of a monofunctional or a bifunctional fluorescein, rhodamine, TAMRA, biotin, pyrene, dinitrophenyl, cholesteryl, acridine, adamantyl, vitamin E, cyanine dye, dabcy, edans, lexitropsin, or psoralen radical. Monofunctional end groups are listed by way of example in Figures 1a, 1b, 2a and 3a, while bifunctional bridging groups are listed by way of example in Figures 2b and 3b. In another embodiment, n and/or m, independently of each other, are 0, i.e. the PNA moiety carries in each case only one phosphoryl radical on the N and/or on the C terminus.

[037] In an embodiment, X or X' is U-(C<sub>2</sub>-C<sub>22</sub>-alkanediyl)-U, such as O-(C<sub>2</sub>-C<sub>22</sub>-alkanediyl)-O. For example X or X' can be O-(CH<sub>2</sub>)<sub>2-6</sub>-O. In another embodiment, X or X' is a group of the Formula U-(CH<sub>2</sub>CH<sub>2</sub>-O)<sub>u'</sub>, wherein u' is from 1 to 10, such as from 1 to 6. In embodiments, U can be oxygen. In a further embodiment, X or X' comprises a fluorescent group such as fluorescein, rhodamine, TAMRA, or a cyanine dye, for example Cy3® (from Amersham Pharmacia Biotech). Exemplary bifunctional groups can be found in Figures 2a and 3a. In embodiments, X or X' is biotin. Other groups which are suitable are dabcyl, psoralen, acridine, DNP, cholesterol, BODIPY, lexitropsin, digoxigenin, and ROX and R6G radicals.

[038] The different radicals for X, X', Z, and Z' in Formula I can fulfill different functions. The fluorescein radicals have far-reaching applications in DNA sequencing and signal amplification or as markers for determining the cellular uptake of PNA. The cyanine dye radicals (Cy3® and Cy5®) give a substantially more intense and longer-lasting fluorescence signal than does fluorescein itself. The psoralen radical can be employed for crosslinking with complementary nucleic acids. The acridine radical is an effective intercalator and can thereby augment the binding affinity of the PNA. Biotin, acridine, and psoralen derivatives can also be used for antisense experiments. In addition, hexadecyloxy and cholesterol derivatives can be used for increasing the ability of the PNA to traverse membranes. DNP-labeled compounds of Formula I can be detected using anti-DNP antibodies. Aminoalkoxy radicals can be used for coupling on other groups, for example lexitropsin (cf. Example 17; PNA-16). In a similar way, mercaptoalkoxy groups can also be used for further derivatization.

[039] The invention furthermore relates to the use of the PNA derivatives of Formula I as pharmaceuticals. These pharmaceuticals can be used for preventing and/or treating diseases which are accompanied by the

expression or overexpression of particular genes. The invention furthermore relates to the use of PNA derivatives as diagnostic agents. These diagnostic agents can be used for diagnosing diseases at an early stage.

[040] When being employed as pharmaceuticals or diagnostic agents, the PNA derivatives of Formula I can be used as antisense agents, anti-gene agents, decoy agents, and chimeraplast agents, depending on their sequence.

[041] The PNA derivatives according to the invention are used, for example, for producing pharmaceuticals for treating diseases in which defined genes are the cause, or are involved, as a result of their overexpression. These pharmaceuticals can, for example, be used for treating diseases which are provoked by viruses, for example by CMV, HIV, HSV-1, HSV-2, influenza, VSV, hepatitis B, or papilloma viruses, with the corresponding virus sequence being the target.

[042] Antisense PNA derivatives according to the invention which are active against these targets have, for example, the following base sequences:

a) against CMV, for example

SEQ ID NO:1      5'-G C G T T T G C T C T T C T T C T T G C G-3'

b) against HIV, for example

SEQ ID NO:2      5'-A C A C C C A A T T C T G A A A A T G G -3'

SEQ ID NO:3      5'-A G G T C C C T G T T C G G G C G C C A-3'

c) against HSV-1, for example

SEQ ID NO:4      5'-G C G G G G C T C C A T G G G G G T C G-3'.

[043] Such pharmaceuticals are also suitable, for example, for treating cancer. In this connection, in embodiments, sequences are used which are directed against targets which are responsible for the carcinogenesis or the growth of a cancer, such as by inhibiting telomerase (E. Matthes et al. (1999) Nucleic Acids Res. 27, 1152). Additional targets of this nature include, but are not limited to:

- 1) Nuclear oncoproteins, such as for example, c-myc, N-myc, c-myb, c-fos, c-fos/jun, PCNA, and p120;
- 2) Cytoplasmic/membrane-associated oncoproteins, such as for example, EJ-ras, c-Ha-ras, N-ras, rrg, bcl-2, cdc-2, c-raf-1, c-mos, c-src, c-abl, and c-ets;
- 3) Cell receptors, such as for example, EGF receptor, Her-2, c-erbA, VEGF receptor (KDR-1), retinoid receptors, protein kinase regulatory subunit, c-fms, Tie-2, c-raf-1 kinase, PKC-alpha, and protein kinase A (R1 alpha); and
- 4) Cytokines, growth factors, and extracellular matrix, such as for example, CSF-1, IL-6, IL-1a, IL-1b, IL-2, IL-4, IL-6, IL-8, bFGF, VEGF, myeloblastin, and fibronectin.

[044] Antisense PNA derivatives which are active against such targets have, for example, the following base sequences:

a) against c-Ha-ras, for example

SEQ ID NO:5      5'- C A G C T G C A A C C C A G C -3'

SEQ ID NO:6 5'-TATTCCGTCAT-3'

SEQ ID NO:7 5'-TTCCGTCATCGCTCCTCAGGGG-3'

b) bFGF, for example

SEQ ID NO:8 5'-GGCTGCCATGGTCCC-3'

c) c-myc, for example

SEQ ID NO:9 5'-GGCTGCTGGAGCGGGGCACAC-3'

SEQ ID NO:10 5'-AACGTTGAGGGGCAT-3'

d) c-myb, for example

SEQ ID NO:11 5'-GTGCCGGGGTCTTCGGGC-3'

e) c-fos, for example

SEQ ID NO:12 5'-CGAGAACATCATCGTGG-3'

SEQ ID NO:13 5'-GGAGAACATCATGGTCGAAAG-3'

SEQ ID NO:14 5'-CCCGAGAACATCATGGTCGAAAG-3'

SEQ ID NO:15 5'-GGGGAAAGCCCGGCAAGGGG-3'

f) p120, for example

SEQ ID NO:16 5'-CACCCGCCTTGGCCTCCAC-3'

g) EGF receptor, for example

SEQ ID NO:17 5'-GGGACTCCGGCGCAGCGC-3'

SEQ ID NO:18 5'-GGCAAACCTTTCTTTTCCTCC-3'

h) p53 tumor suppressor, for example

SEQ ID NO:19 5'-G G G A A G G A G G A G G A T G A G G-3'

SEQ ID NO:20 5'-G G C A G T C A T C C A G C T T C G G A G-3'

i) bcl-2, for example

SEQ ID NO:21 5'-T C T C C C A G C G T G C G C C A T-3'

j) VEGF, for example

SEQ ID NO:22 5'-G C G C T G A T A G A C A T C C A T G-3'

SEQ ID NO:23 5'-G G A G G C C C G A C C-3'

SEQ ID NO:24 5'-G G T T T C G G A G G C-3'

SEQ ID NO:25 5'-T G G T G G A G G T A G-3'

SEQ ID NO:26 5'-G C A T G G T G G A G G-3'

SEQ ID NO:27 5'-T T G G C A T G G T G G-3'

SEQ ID NO:28 5'-G C C T G G G A C C A C-3'

SEQ ID NO:29 5'-C A G C C T G G G A C C-3'

SEQ ID NO:30 5'-T G C A G C C T G G G A-3'

SEQ ID NO:31 5'-G T G C A G C C T G G G-3'

SEQ ID NO:32 5'-G G T G C A G C C T G G-3'

SEQ ID NO:33 5'-A T G G G T G C A G C C-3'

SEQ ID NO:34 5'-G G C T T G A A G A T G-3'

SEQ ID NO:35 5'-G C A G C C C C C G C A-3'

SEQ ID NO:36 5'-G C A G C A G C C C C C-3'

k) c-raf kinase, for example

SEQ ID NO:37 5'-T C C C G C C T G T G A C A T G C A T T-3'

l) PKC-alpha, for example

SEQ ID NO:38      5'-G T T C T C G C T G G T G A G T T T C A-3'

m) protein kinase A, for example

SEQ ID NO:39      5'-G C G T G C C T C C T C A C T G G C-3'.

[045] Pharmaceuticals comprising PNA derivatives of Formula I are furthermore suitable, for example, for treating diseases which are effected by integrins or cell-cell adhesion receptors, for example by VLA-4, VLA-2, ICAM, VCAM, or ELAM.

[046] Antisense PNA derivatives which are active against such targets have, for example, the following base sequences:

a) VLA-4, for example

SEQ ID NO:40      5'-G C A G T A A G C A T C C A T A T C -3'

b) ICAM-1, for example

SEQ ID NO:41      5'-G C C C A A G C T G G C A T C C G T C A-3'

SEQ ID NO:42      5'- C C C C C A C C A C T T C C C C T C T C-3'

SEQ ID NO:43      5'-C T C C C C C A C C A C T T C C C C T C-3'

SEQ ID NO:44      5'-G C T G G G A G C C A T A G C G A G G-3'

c) ELAM-1, for example

SEQ ID NO:45      5'-A C T G C T G C C T C T T G T C T C A G G -3'

SEQ ID NO:46      5'- C A A T C A A T G A C T T C A A G A G T T C-3'



d) Integrin alpha(V), for example for example

SEQ ID NO:47      5'-G C G G C G G A A A G C C A T C G -3'.

[047] Pharmaceuticals comprising PNA derivatives of Formula I are also suitable, for example, for preventing restenosis. In this connection, it is possible to use PNA sequences which are directed against targets which are responsible for proliferation or migration. Examples of such targets are:

- 1) Nuclear transactivator proteins and cyclins, such as for example c-myc, c-myb, c-fos, c-fos/jun, cyclins, and cdc2 kinase;
- 2) Mitogens or growth factors, such as for example PDGF, bFGF, VEGF, EGF, HB-EGF, and TGF- $\beta$ ; and
- 3) Cell receptors, such as for example bFGF receptor, EGF receptor, and PDGF receptor.

[048] Antisense PNA derivatives which are active against such targets have, for example, the following base sequences:

a) c-myb, for example

SEQ ID NO:48      5'-G T G T C G G G G T C T C C G G G C-3'

b) c-myc, for example

SEQ ID NO:49      5'-C A C G T T G A G G G G C A T-3'

c) cdc2 kinase, for example

SEQ ID NO:50      5'-G T C T T C C A T A G T T A C T C A-3'

d) PCNA (proliferating cell nuclear antigen of rat), for example

SEQ ID NO:51      5'-G A T C A G G C G T G C C T C A A A-3'.

[049] PNA derivatives can likewise be used for treating vitiligo and other depigmentation diseases or depigmentation disturbances (e.g. of the skin, the hair, and the eyes), such as albinism and psoriasis, or for treating asthma, with expression of the adenosine A1 receptor, the adenosine A3 receptor or the bradykinin receptor, or of IL-13, being inhibited using suitable antisense agents. An example of such a base sequence is:

SEQ ID NO:52      5'-G A T G G A G G G C G G C A T G G C G G G-3'.

[050] Pharmaceuticals that comprise a PNA derivative of Formula I can be used, for example, in the form of pharmaceutical preparations which can be administered orally, for example in the form of tablets, coated tablets, hard or soft gelatin capsules, solutions, emulsions, or suspensions. They can also be administered rectally, e.g. in the form of suppositories, or parenterally, e.g. in the form of solutions for injection. In order to produce pharmaceutical preparations, these compounds can be processed in therapeutically inert organic and inorganic excipients. Examples of such excipients for tablets, coated tablets and hard gelatin capsules are lactose, cornstarch or derivatives thereof, tallow and stearic acid or salts thereof. Suitable excipients for preparing solutions include, but are not limited to, water, polyols, sucrose, invert sugar, and glucose. Suitable excipients for injection solutions include, but are not limited to, water, alcohols, polyols, glycerol, and vegetable oils. Suitable excipients for suppositories include, but are not limited to, vegetable

oils and hydrogenated oils, waxes, fats, and semiliquid polyols. The pharmaceutical preparations can also comprise preservatives, solvents, stabilizers, wetting agents, emulsifiers, sweeteners, dyes, flavorants, salts for altering the osmotic pressure, buffers, coating agents, antioxidants and, where appropriate, other therapeutically active compounds. The identity and amount of excipient, carrier, and/or additive should conform to the practices known to those of skill in the pharmaceutical art. Techniques for preparation of pharmaceuticals according to the present invention are well known to those of skill in the art and are well within the skill of those artisans. Accordingly, the techniques need not be detailed here. Treatment regimens (e.g., number of doses per unit time, length of treatment, etc.) should conform to the practices known to those of skill in the pharmaceutical art.

[051] Administration forms include, but are not limited to, topical applications; local applications, for example using a catheter or by inhalation; injections or infusions; and oral administration. For injection, the PNA derivatives of Formula I are formulated in a liquid solution, such as in a physiologically acceptable buffer (for example Hank's solution or Ringer's solution). However, the oligonucleotides can also be formulated in solid form and dissolved or suspended before use. Suitable doses for systemic administration are from about 0.01 mg/kg to about 50 mg/kg of bodyweight and per day

[052] The invention furthermore relates to pharmaceutical preparations which comprise PNA derivatives of Formula I and/or their physiologically tolerated salts in addition to pharmaceutically acceptable excipients and/or additives.

[053] The PNA derivatives of Formula I and/or their physiologically tolerated salts can be administered to animals, including mammals. In embodiments, the mammal is a human. In embodiments, the mammal is a

feline, such as a cat, or a canine, such as a dog. In embodiments, the mammal is an equine, such as a horse; an ovine, such as a cow or steer; a porcine, such as a pig; or an ovine, such as a sheep.

[054] In embodiments, the PNA derivatives of Formula I and/or their physiologically acceptable salts are prepared as pharmaceuticals. In embodiments, they are prepared on their own as pharmaceuticals or they are prepared in mixtures with each other as pharmaceuticals. In other embodiments, they are prepared in the form of pharmaceutical preparations which permit topical, percutaneous, parenteral, or enteral use and which comprise, as the active constituent, an effective dose of at least one PNA derivative together with at least one customary, pharmaceutically acceptable excipient and/or additive. The preparations can comprise from about 0.1 to 90% by weight of the therapeutically active compound. A topical application, for example in the form of ointments, lotions, tinctures, emulsions, or suspensions, is suitable for treating skin diseases.

[055] As discussed above, the pharmaceutical preparations are produced in a manner known to those of skill in the art (see, for example, Remington's Pharmaceutical Sciences, Mack Publ. Co., Easton, PA.), with pharmaceutically inert inorganic and/or organic excipients being used. It is possible, for example, to use lactose, cornstarch and/or derivatives thereof, tallow, stearic acid and/or its salts, etc., for producing pills, tablets, coated tablets, and hard gelatin capsules, among other things. Non-exclusive examples of excipients for soft gelatin capsules and/or suppositories are fats, waxes, semisolid and liquid polyols, natural and/or hydrogenated oils, etc. Suitable excipients for producing solutions and/or syrups are, for example, water, sucrose, invert sugar, glucose, polyols, etc. Suitable excipients for producing solutions for injection include, but are not limited to, water, alcohols, glycerol, polyols, vegetable oils, etc. Suitable excipients for microcapsules, implants and/or rods include, but are not limited to, copolymers consisting of

glycolic acid and lactic acid. Liposome formulations which are known to the skilled person (N. Weiner, Drug Develop Ind Pharm 15 (1989) 1523; "Liposome Dermatics, Springer Verlag 1992), for example HVJ liposomes (Hayashi, Gene Therapy 3 (1996) 878) are also suitable. Dermal application can also be effected, for example, using ionophoretic methods and/or using electroporation.

[056] In addition to the active compounds and excipients, a pharmaceutical preparation can also contain additives, such as fillers, extenders, disintegrants, binders, glidants, wetting agents, stabilizers, emulsifiers, preservatives, sweeteners, dyes, flavorants or aromatizing agents, thickeners, diluents, and buffering substances, and, furthermore, solvents and/or solubilizing agents and/or agents for achieving a sustained release effect, and also salts for altering the osmotic pressure, coating agents and/or antioxidants. They can also comprise two or more different PNA derivatives of Formula I and/or their physiologically tolerated salts and also, furthermore, in addition to at least one PNA derivative of Formula I, one or more different therapeutically active substances. The dose can vary within wide limits and is to be adjusted to the individual circumstances in each individual case. As mentioned above, regulating dosage is well within the abilities of those of skill in the art.

[057] The invention furthermore relates to the use of PNA derivatives of Formula I as diagnostic agents, in particular as aids in DNA diagnosis and in molecular biology (see, for example: Peptide Nucleic Acids: Protocols and Applications; Peter E. Nielsen and Michael Egholm (Edit.) Horizon Scientific Press, 1999). In DNA diagnosis, gene probes, also termed DNA probes or hybridization probes, play an important role in the sequence-specific detection of particular genes. In general, a gene probe consists of a recognition sequence and one or more suitable labeling groups (labels). The specificity with which a target sequence in an analytical sample is identified by means of

hybridization with a complementary gene probe is determined by the recognition sequence and its chemical structure. This technique can also be applied to PNA. As compared with oligonucleotides having a natural structure, PNA has the advantage that it has a higher affinity for the target sequence and a greater ability to discriminate between bases.

[058] In an embodiment, the PNA are used in a method for detecting a nucleic acid of interest. In the method, the PNA is labeled with a detectable label, wherein the PNA derivative comprises a base sequence that hybridizes with at least one sequence present in the nucleic acid of interest under selected conditions (for example, stringency conditions that permit specific hybridization). The labeled PNA is combined with a sample suspected of containing the nucleic acid of interest under conditions where specific binding of the PNA derivative to the nucleic acids in the sample can occur. Specific binding of the PNA derivative and nucleic acids present in the sample can then be detected using techniques suitable for the label and known to those of skill in the art. Specific binding indicates the presence of the nucleic acid of interest in the sample.

[059] In embodiments, the nucleic acid is a viral nucleic acid. In embodiments, the nucleic acid is a nucleic acid from a microorganism (e.g., a bacterium).

[060] The use of the compounds of Formula I therefore also relates to in-situ hybridization and fluorescence in-situ hybridization (FISH). In-situ hybridization can also be used, for example, for detecting microorganisms and viruses (Just et al. (1998) J. Vir. Method. 73, 163-174).

[061] Another application of the compounds of the invention relates to detecting and quantifying nucleic acids. Methods for performing such assays can follow along the steps provided above, with the additional step of

quantifying the detected nucleic acid using techniques known to those of skill in the art, for example, comparison to concentration standard curves, extrapolation based on extinction coefficients, etc. In addition, for quantitation, use can be made of array technology (Strother et al. J. Am. Chem. Soc. (2000) 122, 1205-1209; Niemeyer et al., Angew. Chem. (1999) 111, 3039-3043; Pirrung (1997) Chem. Rev. 97, 473-488), which provides high sample throughput and a high degree of sensitivity. In embodiments, the PNA probes are fixed on a suitable support or PNA chip. To achieve this, PNA can be synthesized as described in the examples and subsequently fixed onto the support or PNA chip. Alternatively, the PNA can be prepared directly on the support. Another application is the use of the compounds of Formula I as biosensors for detecting nucleic acids (Wang et al (1996) J. Am. Chem. Soc. 118, 7667). The use of PNA of the Formula I possessing an affinity label, such as histidyl-PNA, is another application for purifying nucleic acids (Oerum et al. (1999), in Peptide Nucleic Acids: Protocols and Applications).

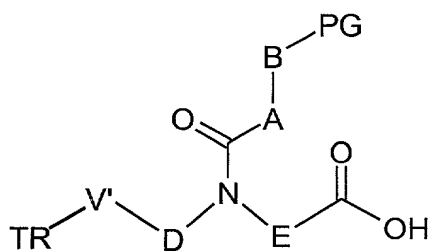
[062] The two phosphoryl radicals at the amino terminus and the carboxy terminus can fulfill different functions. For example, the amino terminus can be substituted lipophilically in order to increase the cell uptake, with a fluorescein residue being located at the carboxy terminus for the purpose of detecting the improved cell uptake (cf. PNA-6 in Example 7). Other examples will be apparent to those of skill in the art based on the substituents suitable for inclusion in the PNA derivatives of the invention, as disclosed herein.

[063] The doubly derivatized compounds of Formula I are also suitable for use as so-called "molecular beacons" (Li et al. (2000) Angew. Chemie 112, 1091-1094), which only emit a fluorescence signal in association with binding to a complementary nucleic acid. In these beacons, one end of the PNA, for example the amino terminus, is provided with a fluorescent label whereas the other end, for example the carboxy terminus, is provided with a quencher.

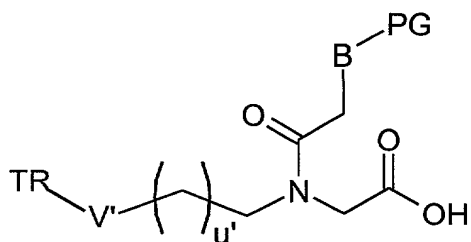
The opposite case, in which the N terminus carries a quencher and the C terminus carries a fluorescent label, is also possible. This results in the fluorescence signal being suppressed as long as the doubly labeled PNA derivative does not bind to a complementary nucleic acid. It is only in association with binding that the fluorescent residue (e.g. edans) and the quencher (e.g. dabcyI) become spatially separated from each other, resulting in a fluorescence signal being emitted (Sokol et al. (1998) *Proc. Natl. Acad. Sci.* 95, 11538).

[064] The PNA backbone can be synthesized using the methods described in the literature, for example using the tert-butyloxycarbonyl(BOC), 9-fluorenylmethoxycarbonyl (Fmoc), or monomethoxytrityl (Mmt) protecting group strategy (Peptide Nucleic Acids: Protocols and Applications; Peter E. Nielsen and Michael Egholm (Edit.) Horizon Scientific Press, 1999). In embodiments, the Mmt protecting group is used for temporarily protecting the amino function of the aminoethylglycine and base-labile protecting groups on the heterocyclic nucleobases (D. Will et al. (1995) *Tetrahedron* 51, 12069; Breipohl et al. (1997) *Tetrahedron* 53, 14671-14686). Examples of monomeric building blocks are compounds of the Formulae V to V D (below), with A, B, D, E, u' and V' having the meanings defined above. PG can be an amino-protecting group such as benzoyl, anisoyl-, isobutyryl-, acetyl-, or tert-butylbenzoyl (Breipohl et al. (1997) *Tetrahedron* 53, 14671-14686). TR can be an acid-labile protecting group such as dimethoxytrityl (Dmt) (for V' = O and S) or Mmt (for V' = NH).

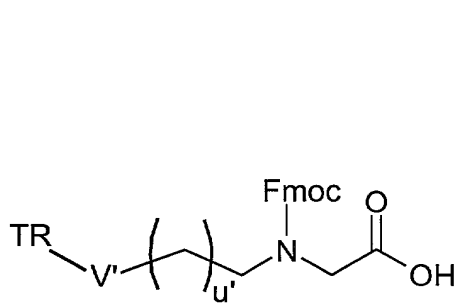




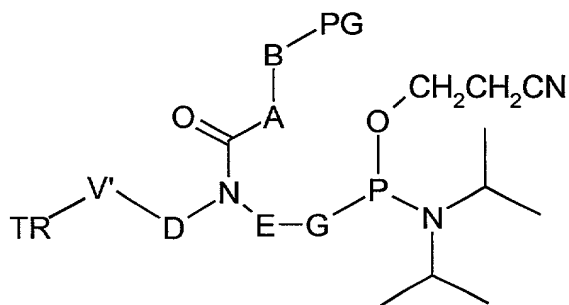
Formula V



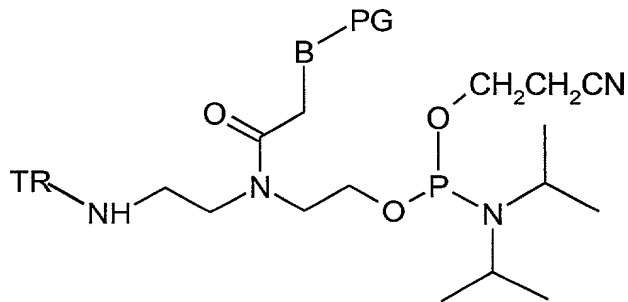
Formula V A



Formula V B



Formula V C



Formula V D

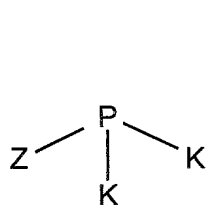
[065] After the PNA backbone has been constructed, the free amino function of the N terminus can be reacted directly with an appropriate phosphorylating reagent, for example to give the corresponding phosphoramidate ( $V' = NR_1$  in Formula I).

[066] The phosphoryl radicals can be introduced using the reagents which are customarily employed in nucleotide chemistry. There are a large

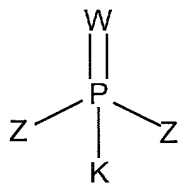
number of phosphorylating reagents available which can be used for preparing the compounds of the Formula I. A non-limiting selection of the reagents is shown in Figures 4a to 4d, with the invention not, however, being restricted to these special derivatives. Appropriately modified supports, in particular CPG supports for solid phase synthesis, are used for the carboxy-terminal modification. Non-limiting examples of such supports are listed in Figure 6.

[067] The phosphorylating reagents employed can be the reagents which are customary in nucleotide chemistry (Glen Research Corporation, Sterling, VA 20164, U.S.A.; Figures 4a to 4d) and which react, for example, in accordance with the phosphoramidite method, the H-phosphonate method or the phosphotriester method (E. Sonveaux (1986) *Bioorganic Chemistry* 14, 274; S.L. Beaucage and R.P. Iyer (1993) *Tetrahedron* 49, 1925; E. Uhlmann and A. Peyman (1990) *Chem. Rev.* 90, 543). The wide variety of possible modifications is determined by the large number of known phosphorylating reagents and appropriately derivatized supports, in particular of controlled pore glass (CPG) supports. TENTAGEL® (from Rapp Polymers GmbH, Tübingen) and aminomethylpolystyrene can be used as solid supports.

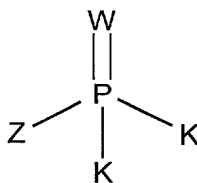
[068] In principle, all the reagents which are known in nucleotide chemistry are suitable for introducing the phosphoryl function. Non-exclusive, exemplary reagents are the following reagents of the Formulae VI A, VI B, VI C and VI D



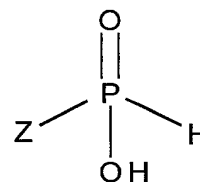
Formula VI A



Formula VI B



Formula VI C



Formula VI D

wherein K is halogen (for example, Cl), triazolyl, imidazolyl, or dialkylamino. W can have the above-mentioned meaning or the meaning of W', and Z can have the above-mentioned meaning or the meaning of X, X', or Z', with reactive groups being appropriately protected.

[069] For example, the hydroxyl groups of the fluorescein-phosphoramidite 3 (Figure 4a) can be protected by esterifying with pivalic acid.

[070] The compounds of Formula VI are only to be regarded as being examples of such reagents, which react, where appropriate, in the added presence of other auxiliary reagents such as bases, acids, or condensing reagents. In embodiments, the reagents of Formula VI A are those which react in accordance with the phosphoramidite method (Beaucage and Iyer, 1993). These reagents are reacted as the phosphorus (III) compound and subsequently oxidized. If, for example, the oxidation is carried out using iodine/water/pyridine or tert-butyl hydroperoxide, the phosphoryl derivatives ( $W = O$ ) are then obtained. If, on the other hand, the oxidation is carried out using elemental sulfur or Beaucage reagent, the corresponding thiophosphoryl compound ( $W = S$ ) is then obtained.

[071] Among the reagents (Figures 4a to 4d), are also to be found "bifunctional reagents" which, because of the possession of a second function, which is initially protected, can be caused to react several times. The phosphoramidites 4, 6, and 8 to 13 are examples of such bifunctional reagents. In this connection, it can be a matter of the multiple conjugation of a reagent or else of successive reaction with different reagents. Thus, for example, the fluorescein-phosphoramidite 3 can only be caused to react once. By comparison, the fluorescein-phosphoramidite 4 possesses a Dmt group-protected hydroxyl function which can be reacted once again with a phosphorylating reagent after the Dmt group has been eliminated. In this

way, it is possible to introduce one and the same group or else different groups several times. PNA-6 is an example of a multiple conjugation at the carboxy terminus and an additional modification at the amino terminus. The fluoroscein and the amino linker were firstly synthesized successively at the carboxy terminus. After the PNA moiety had been synthesized, a hydroxyethylglycine-t building block was coupled on, in the last cycle, with this building block being reacted with C16-phosphorylating reagent 7. PNA-1 and PNA-2 are compounds of Formula I which are only modified with a phosphoryl radical at the carboxy terminus ( $q = 0$ ). This substance class is likewise novel and part of the subject matter of the invention.

[072] Figures 5a and 5b show some examples of compound types for the N-terminal modification of the compounds of Formula I. Compound type A is obtained by reacting the terminal hydroxyl group of the PNA with the phosphorylation reagent 1. Compound type B is obtained by reacting the terminal amino group of the PNA with the biotin-phosphoramidite 5. Compound type C is obtained by successively reacting the PNA having a terminal hydroxyl group with the spacer-18 phosphoramidite 9, amino modifier-5 phosphoramidite 12 and lexitropsin. Compound type D is obtained by successively reacting the PNA having a terminal hydroxyl group with the spacer-9 phosphoramidite 8 and the cyanine-3 phosphoramidite 10. Compound type E is obtained by successively reacting the PNA having a terminal hydroxyl group with the bifunctional fluorescein-phosphoramidite 4, the spacer-9 phosphoramidite 8, and the C16-phosphorylating reagent 7. The steps which additionally have to be carried out, such as oxidation and protecting group elimination, are described in the examples.

[073] An example of a carboxy-terminal modification of PNA obtained using a phosphoramidite of the Formula V D is depicted in Figure 7. In this case, the starting material is a bishydroxyethylsulfone support I (Figure 6), which, after the Dmt group has been eliminated with 3% trichloroacetic acid, is

reacted with the phosphoramidite of the Formula V D using tetrazole as catalyst. After oxidizing with iodine water, the amino-terminal Mmt group is eliminated with 3% trichloroacetic acid and the PNA moiety is then synthesized using methods known from the literature, for example using the Mmt method which is explained below. An alternative method for the carboxy-terminal modification uses CPG supports which are modified in accordance with the radical to be introduced, and consequently contain the fluorescein radical, for example (Figure 8). This method will be explained using the example of a PNA derivative which is modified amino-terminally with a hexadecyl phosphate radical and carboxyterminally with a fluorescein phosphate. The fluorescein support 3 (Figure 6) is first of all detritylated with trichloroacetic acid and then condensed with the amino modifier C6 phosphoramidite 13 (Figure 4d) using tetrazole. After oxidizing with iodine water and eliminating the Mmt group, the PNA moiety can be synthesized using customary methods. In the last cycle, a hydroxyethylglycine-based PNA building block (Formula V A,  $u' = 2$ ,  $V' = \text{oxygen}$ ) is coupled on, with this building block being reacted as shown in Figure 9 after eliminating the Dmt protecting group using the C16 phosphorylating reagent 7. The doubly modified PNA derivative is obtained after eliminating all the protecting groups and cleaving from the CPG support.

[074] In embodiments, the invention provides a process for preparing a PNA derivative of Formula I in which  $q$  is 0. In these embodiments, the process comprises linking the C-terminus of an amidonucleic acid, which is optionally N-terminally protected, to a solid phase-bound phosphorylating reagent, or binding an amidonucleic acid which is phosphorylated C-terminally to a solid support. Optionally, the backbone of the PNA oligomer is then extended by sequentially coupling with amidonucleic acid monomers. Optionally, the N-terminus of the PNA oligomer is then deprotected. In embodiments, the PNA is prepared using t-butyloxycarbonyl (BOC), 9-

fluorenylmethoxycarbonyl (Fmoc), or monomethoxytrityl (Mmt) protecting groups.

[075] In embodiments, the invention provides a process for preparing a PNA derivative of Formula I in which q is 1, wherein the process comprises:

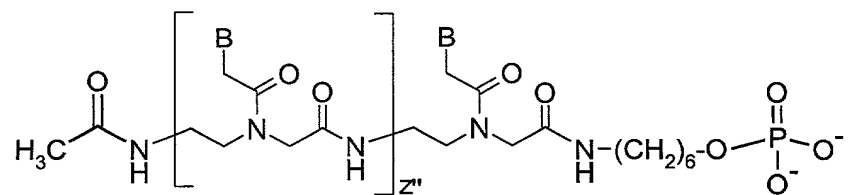
- a) linking the C-terminus of an amidonucleic acid, which is optionally N-terminally protected, to a solid phase-bound phosphorylating reagent, or binding an amidonucleic acid which is phosphorylated C-terminally to a solid support,
- b) optionally, extending the backbone of the PNA oligomer by sequentially coupling with amidonucleic acid monomers,
- c) optionally, deprotecting the N-terminally protected PNA backbone,
- d) coupling a phosphorus (III) or a phosphorus (IV) group to the N-terminus of the PNA backbone using activated phosphorylating reagents optionally containing a spacer,
- e) optionally, repeating step d), and
- f) optionally, oxidizing the phosphorus (III) group to a phosphorus (V) group.

[076] **Examples:**

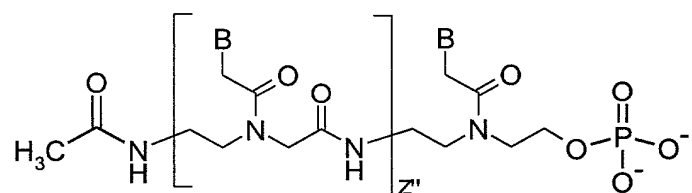
[077] The following examples are presented to more fully describe selected embodiments of the invention. The following examples are not intended, and should not be construed, to limit the invention in any way.

[078] The preparation of the following compounds is described by way of example:

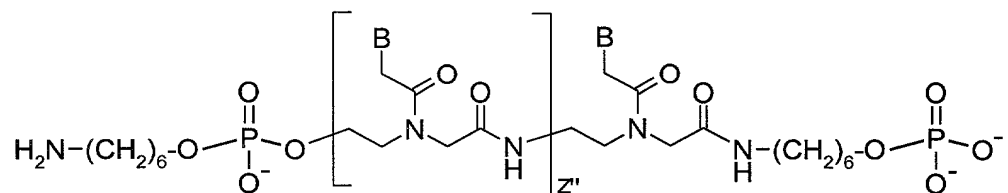
PNA-1:



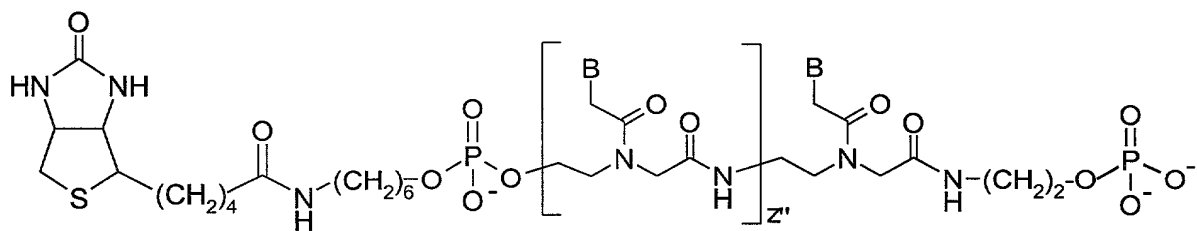
PNA-2:



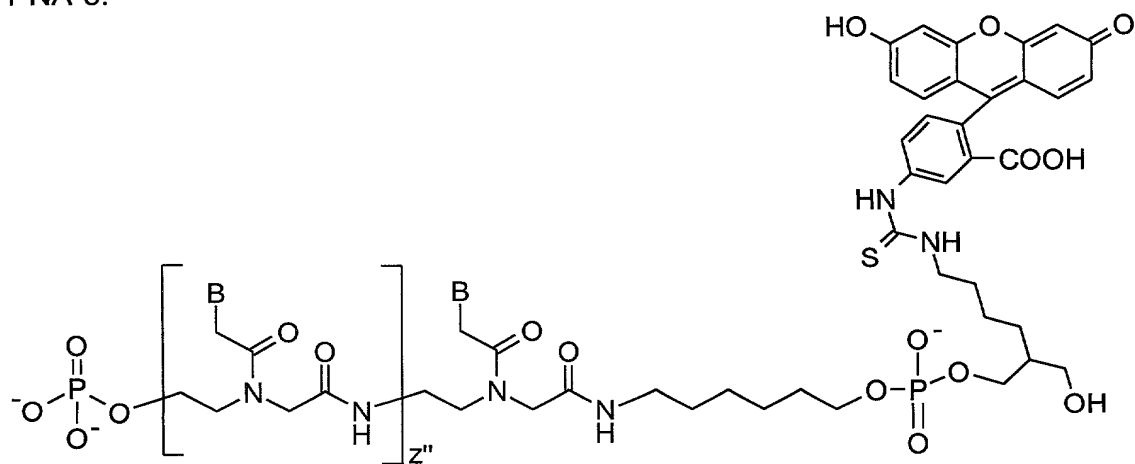
PNA-3:



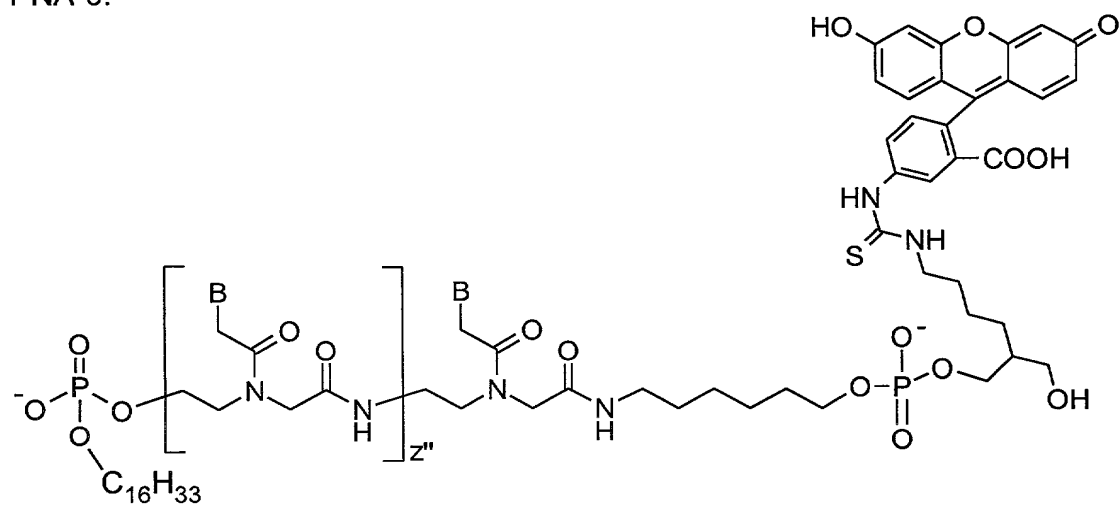
PNA-4:



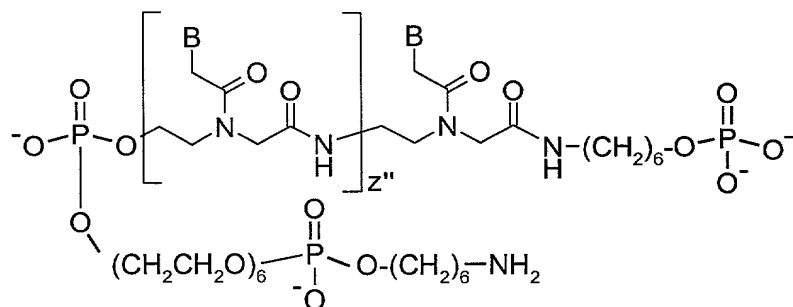
PNA-5:



PNA-6:



PNA-7:





wherein the sequences of the 13 bases are in each case described by SEQ ID NO:53, and z" in each case is 10:

SEQ ID NO:53      5'-T A T T C C G T C A T-3'      (PNA-1 to PNA-7)

[079] Example 1: Synthesizing the PNA chain

[080] The following reagents were used for preparing the PNA moiety:

1. Phosphoramidite reagent (0.1 M in acetonitrile (ACN))
2. Mmt-PNA monomers and/or Dmt-oeg-PNA monomers (0.2 M in DMF:ACN (1:1; v:v))
3. Anhydrous ACN ( $\leq 30$  ppm of water)
4. Trichloroacetic acid (3%) in dichloromethane (DCM)
5. Acetic anhydride, 2,6-lutidine in THF (1:1:8; v:v:v); (Cap A)
6. N-Methylimidazole (16%) in THF; (Cap B)
7. Iodine solution (0.05 M) in THF, water, pyridine (7:2:1; v:v:v)
8. Washing solution (THF, water, pyridine (7:2:1; v:v:v))
9. Tetrazole (0.3 M) in ACN
10. HBTU; 0.2 M in DMF:ACN (1:1; v:v)
11. DIPEA; 0.2 M in DMF:ACN (1:1; v:v)
12. DMF (> 99.5%)
13. Solid phase support: aminopropyl-CPG (550 Å) loaded with Mmt-aminohept-1-yl hemisuccinate (for PNA-hexylamides).

[081] The Mmt/acyl-protected or Dmt/acyl-protected oeg monomers were prepared as has already been described (Breipohl et al. (1997) Tetrahedron 53, 14671-14686). The loading of aminopropyl-CPG with the Mmt-aminohept-1-yl hemisuccinate has likewise already been described (Will et al. (1995) Tetrahedron 51, 12069-12082). The derivatized CPG supports are commercially available (Glen Research Corporation, Sterling, VA 20164,

U.S.A.). The PNA syntheses were in general carried out on a scale of from 2 to 5  $\mu\text{mol}$ .

[082] The following cycle was used for the PNA synthesis:

1. Step of washing with ACN
2. Deprotecting the Mmt group or the Dmt group by treating with 3% trichloroacetic acid (TCA) in DCM; 110 sec.
3. Step of washing with DMF/ACN (1:1)
4. Neutralizing with DIPEA in DMF/ACN (1:1)
5. Coupling on the monomeric building block by preactivating (15 min) with HBTU/DIPEA/PNA monomer (ratio 1:1:1; total volume 450  $\mu\text{l}$ )  
charging the solid phase and coupling (45 min)
6. Step of washing with ACN
7. Capping with acetic anhydride/N-methylimidazole
8. Step of washing with ACN
9. New cycle

[083] Example 2: Synthesizing acetyl-tat tcc gtc at-aminohexyl-p (PNA-1)

[084] The Dmt protecting group was first of all eliminated from the bishydroxyethylsulfonyl support 1 (1  $\mu\text{mol}$ , Figure 6) by treating with 3% trichloroacetic acid. The free hydroxyl function was then reacted with the amino modifier C6 phosphoramidite 13 (Figure 4d) using tetrazole as catalyst. The reaction employs an excess of the phosphorylating reagent 13 (approx. 25-fold), as an 0.3 M solution in acetonitrile/tetrahydrofuran (1:1; v:v), and the tetrazole (approx. 50-fold; 0.5 M in acetonitrile). After the condensation took place, oxidation was effected using an iodine solution (0.05 M in tetrahydrofuran/water, pyridine (7:2:1; v:v:v)). After that, the PNA moiety was prepared by solid phase synthesis as described in Example 1. In the last

cycle, the free amino function was acetylated by treating with the capping reagent. This prevented the PNA from being degraded amino-terminally during deprotection with conc. ammonia. Finally, the PNA was cleaved from the support, and the protecting groups were removed at the same time, by treating with conc. ammonia at 50°C overnight. 103 OD (260 nm) of the desired crude product was obtained. The crude product was purified by preparative polyacrylamide (PAA) gel electrophoresis. The desired product band was eluted with 0.2 M triethylammonium bicarbonate buffer and desalted through a Bond-Elute C 18 column (1 g). 23.3 OD was obtained. The product was analyzed by negative ion mass spectrometry, which confirmed the calculated mass (calc. 3166.2; found 3166.8).

[085] Example 3: Synthesizing acetyl-tat tcc gtc at(eo)-p (PNA-2)

[086] The preparation was effected, in a 1  $\mu$ mol synthesis, in an analogous manner to that described in Example 2. After the Dmt protecting group was eliminated from the support (Figure 6), the free hydroxyl function was reacted with the phosphoramidite of Formula V D using tetrazole as catalyst. The reaction employs an excess of the phosphoramidite (approx. 20-fold), as a 0.1 M solution in acetonitrile/tetrahydrofuran (1:1; v:v) and the tetrazole (approx. 50-fold; 0.5 M in acetonitrile). After the condensation took place, oxidation was effected using an iodine solution (0.05 M in tetrahydrofuran/ water, pyridine (7:2:1; v:v:v)). 50 OD of crude product was obtained after cleaving with ammonia. 45 OD of this crude product was purified by electrophoresis through a 15% PAA gel. 13.2 OD of product, having a molecular weight of 3052.9 (calc. 3052.9), was obtained.

[087] Example 4: Synthesizing aminohexyl-p-t(oeg) at tcc gtc at-aminohexyl-p (PNA-3)

[088] The preparation was effected, in a 1  $\mu$ mol synthesis, in an analogous manner to that described in Example 2. However, after the carboxy terminus and the PNA moiety had been synthesized, a hydroxyethylglycine-based building block having thiamine as the nucleobase (oegT) was coupled on in the last cycle. After the Dmt group was eliminated, the free hydroxyl function was coupled to the amino modifier C6 phosphoramidite 13 (Figure 4d) using tetrazole as catalyst and subsequently oxidized with iodine water. The oligomer was cleaved from the support, and all the base-labile protecting groups were removed at the same time, by treating with conc. ammonia at 50°C. The terminal Mmt protecting group was then removed by treating with 80% acetic acid. 130 OD of the crude product was obtained, with this group product being purified by gel electrophoresis. 22.5 OD of product, having a molecular weight of 3303.8 (calc. 3305.0), was obtained.

[089] Example 5: Synthesizing biotin-p-t(oeg) at tcc gtc at-aminohexyl-p (PNA-4)

[090] The preparation was effected, in a 0.5  $\mu$ mol synthesis, in an analogous manner to that described in Example 2. However, after synthesizing the carboxy terminus and the PNA moiety, a hydroxyethylglycine-based building block having thiamine as the nucleobase (oegT) was coupled on in the last cycle. After eliminating the Dmt group, the free hydroxyl function was coupled to the biotin phosphoramidite 5 (Figure 4b) using tetrazole as catalyst and subsequently oxidized with iodine water and detritylated with trichloroacetic acid. The oligomer was cleaved from the support, and all the protecting groups were removed at the same time, by treating with conc.

ammonia at 50°C. 37 OD of the crude product was obtained, with this crude product being purified by gel electrophoresis. 22.5 OD was obtained.

[091] Example 6: Synthesizing p-t(oeg) at tcc gtc at-aminoethyl-p-fluorescein (PNA-5)

[092] The synthesis was effected in analogy with Example 2 proceeding from the fluorescein-support 3 (Figure 6a and 8). The Dmt protecting group was eliminated from the fluorescein-support 3 by treating with 3% trichloroacetic acid. The free hydroxyl function was then reacted with the amino modifier C6 phosphoramidite 13 (4d) using tetrazole as catalyst. After condensation had taken place, oxidation was effected using an iodine solution (0.05 M in tetrahydrofuran/water, pyridine (7:2:1; v:v:v)). After that, the PNA moiety was prepared by solid phase synthesis as described in Example 1. A hydroxyethylglycine-based building block having thiamine as nucleobase ((t)oeg) was coupled on in the last cycle. After eliminating the Dmt group, the free hydroxyl function was coupled to the phosphorylating reagent 1 (Figure 4a) using tetrazole as catalyst and subsequently oxidized with iodine water. Finally, the PNA was cleaved from the support, and the protecting groups were removed at the same time, by treating with conc. ammonia at 50°C overnight. 61 OD (260) of the crude product was obtained, with this crude product being purified by preparative polyacrylamide (PAA) gel electrophoresis. The desired product band was eluted with 0.2M triethylammonium bicarbonate buffer and desalted through a Bond-Elut C18 column (1 g). 5.6 OD was obtained. The product was analyzed by negative ion mass spectroscopy, which showed the calculated mass (calc. 3709.5; found 3706.3).

[093] Example 7: Synthesizing C16-p-t(oeg) at tcc gtc at-aminohexyl-p-fluorescein (PNA-6)

[094] The synthesis was effected in analogy with Example 6 starting from 1  $\mu$ mol of fluorescein support 3 (Figures 6a and 8). A hydroxyethylglycine-based building block having thiamine as the nucleobase ((t)oeg) was coupled on in the last cycle. However, after eliminating the Dmt group, the free hydroxyl function was coupled to the C16 phosphorylating reagent 7 (Figure 4c) using tetrazole as catalyst and subsequently oxidized with iodine water. Finally, the PNA was eliminated from the support, and the protecting groups were removed at the same time, by treating with conc. ammonia at 50°C overnight. 61 OD (260) of the desired crude product was obtained, with this crude product being purified by preparative polyacrylamide (PAA) gel electrophoresis. The desired product band was eluted with 0.2M triethylammonium bicarbonate buffer and desalted through a Bond-Elut C18 column (1 g). 4.6 OD was obtained. The product was analyzed by negative ion mass spectrometry, which showed the calculated mass (calc. 3934, found 3931).

[095] Example 8: Determining the melting temperatures

[096] The melting temperatures were determined using an HP 8452A diode-array spectrophotometer, an HP 89090A Peltier element and HP Temperature Control Software Rev. B5.1 (from Hewlett Packard). Measurements were taken in 0.5°C/min steps in 140 mM KCl, 10 mM sodium dihydrogen phosphate, 0.1 mM EDTA (pH 7.4) as the buffer. The oligomer concentration was from 0.5 to 1 OD<sub>260</sub> per ml.

[097] Surprisingly, the doubly phosphoryl-modified PNA-5 and PNA-6 derivatives having two or three negative charges exhibited an equally good or

better degree of binding towards complementary DNA and RNA than did the uncharged PNA (reference substance).

PNA derivative		T <sub>m</sub> (DNA)	T <sub>m</sub> (RNA)
Reference	Ac-HN-tat tcc gtc at-hex	41.9°C	56.6°C
PNA-5	p-t(oeg) at tcc gtc at-aminohexyl-p-fluorescein	41.8 °C	56.9 °C
PNA-6	C16-p-t(oeg) at tcc gtc at-aminohexyl-p-fluorescein	44.1 °C	56.9 °C

[098] Example 9: Determining cell uptake after fluorescence labeling

[099] COS cells were allowed to grow to confluence in Dulbecco's MEM, which was supplemented with 10% FCS, in 5 cm Petri dishes. The cells were washed twice with serum-free DMEM. An area of approx. 1 cm<sup>2</sup> was scratched out in the middle of the Petri dish using a sterile needle. The PNA solution (10 µM) under investigation was applied in this area. The dish was incubated at 37°C under a CO<sub>2</sub> atmosphere. After 2, 4 and 16 hours, the cells were examined by fluorescence microscopy. For this, the cells were washed four times with serum-free DMEM, covered with a cover slip, and evaluated under the fluorescence microscope or by phase contrast. PNA-5 and PNA-6 were examined by fluorescence microscopy.

[100] In this connection, it was found that the hexadecyl-PNA derivative (PNA-6) was taken up more efficiently into the cells than the PNA with no hexadecyl radical.

[101] Example 10: Inhibiting cell proliferation with PNA-6

[102] The sequence of PNA-6 is directed against the translation start of the Ha-ras mRNA. REH cells (human pre-B leukemia cells, DSM ACC 22)

or A549 tumor cells were cultured, at 37°C and under 5% CO<sub>2</sub>, in OptiMEM (Gibco BRL) containing 10% fetal calf serum (FCS, GIBCO-BRL). The cell density for the assay was approx.  $1 \times 10^6$ /ml. The PNA-6 (10 μM) was incubated with the cells in 24-well plates. After incubating at 37°C and under 5% CO<sub>2</sub> for 96 hours, the cell density was determined. Mean values for the cell density were determined from 3 individual wells at a given PNA concentration. It was found that PNA-13 inhibits proliferation of the REH cells. After > 4 days of incubation, the inhibition brought about by PNA-6 was greater than that brought about by a corresponding phosphorothioate oligonucleotide.

[103] Example 11: Synthesizing aminoethyl-p-spacer18-p-t(oeg) at tcc gtc at-aminoethyl-p (PNA-7)

[104] The synthesis was effected in a 1 μmol synthesis, in an analogous manner to that described in Example 2. However, after the carboxy terminus and the PNA moiety had been synthesized, a hydroxyethylglycine-based building block having thymine as the nucleobase (oegT) was coupled on in the last cycle. After eliminating the Dmt group, the free hydroxyl function was coupled to the spacer 18 phosphoramidite (Figure 4c) and, after detritylating once again, to the amino modifier C6 phosphoramidite 13 (Figure 4d) using tetrazole as catalyst and subsequently oxidized with iodine water. The oligomer was cleaved from the support, and all the base-labile protecting groups were removed at the same time, by treating with conc. ammonia at 50°C. The terminal Mmt protecting group was then removed by treating with 80% acetic acid. 57 OD of the crude product was obtained, with this crude product being purified by gel electrophoresis. 7.4 OD of product, which exhibits the expected molecular weight of 3647.5 (calc. 3648.5) in the mass spectrum, was obtained.



## [105] List of abbreviations:

ACN	Acetonitrile
BOC	tert-butyloxycarbonyl
<u>C</u> , <u>c</u>	pseudo-iso-cytosine
COS	CV1 origin SV 40
CPG	controlled pore glass
DCM	Dichloromethane
DIPEA	Diisopropylethylamine
DMEM	Dulbecco's MEM
DMF	Dimethylformamide
Dmt	Dimethoxytrityl
DNA	deoxyribonucleic acid
DNP	Dinitroaryl
FITC	fluorescein isothiocyanate
Fmoc	Fluorenylmethoxycarbonyl
HATU	O-(7-azabenzotriazol-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate
HBTU	O-(benzotriazol-1-yl)-1,1,3,3-tetramethyluronium hexafluorophosphate
Hex	-NH-(CH <sub>2</sub> ) <sub>6</sub> -OH
MEM	modified Eagle's minimal essential medium
Mmt	Monomethoxytrityl
OD	optical density
Oeg	N-(2-hydroxyethyl)glycine
PAA	Polyacrylamide
PG	protecting group
PNA	polyamide nucleic acid
RNA	ribonucleic acid
TBTU	O-(benzotriazol-1-yl)-1,1,3,3-tetramethyluronium

	tetrafluoroborate
TCA	trichloroacetic acid
THF	Tetrahydrofuran
TR	acid-labile protecting group

[106] All references cited herein are hereby incorporated in their entirety by reference.